Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

2 of 26

This listing of claims will replace all prior versions and listings of claims in the Application.

**LISTING OF CLAIMS:** 

1-2. (Cancelled)

3. (Previously Presented) The method of claim 27, wherein the estimates include at

least quarterly or fiscal earnings estimates.

4. (Previously Presented) The method of claim 27, wherein the estimates include at

least revenue projections.

5. (Previously Presented) The method of claim 27, wherein the estimates include at

least a security's target price.

6. (Previously Presented) The method of claim 27, wherein the estimates include at

least cash flow projections.

7. (Previously Presented) The method of claim 27, wherein the estimates include at

least financial predictions.

8. (Previously Presented) The method of claim 27, wherein the indication of historical

accuracy for an analyst is for one or more securities, and the analyst's estimate for a future

event is for one or more securities.

9. (Previously Presented) The method of claim 8, wherein the one or more securities

include a set of securities in an industry.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

3 of 26

10. (Previously Presented) The method of claim 8, wherein the one or more securities

include a set of securities in a sector.

11. (Previously Presented) The method of claim 8, wherein the one or more securities

include a set of securities followed by an analyst.

12. (Previously Presented) The method of claim 8, wherein the one or more securities

include a set of securities followed by a brokerage firm with which an analyst is associated.

13. (Previously Presented) The method of claim 8, wherein the one or more securities

include one or more user-selected sets of securities.

14. (Previously Presented) The method of claim 13, further comprising saving one or

more user-selected sets of securities and reusing one or more user-selected sets of

securities.

15. (Previously Presented) The method of claim 27, wherein the indication of historical

accuracy comprises a performance rating.

16. (Previously Presented) The method of claim 27, wherein the indication of historical

accuracy comprises a performance rating based on one or more performance metrics.

17. (Previously Presented) The method of claim 27, wherein the indication of historical

accuracy comprises a performance rating based on one or more performance metrics, and

the rating comprises a scale of integers from 1-N.

18. (Previously Presented) The method of claim 17, wherein N=5.

Applicant:

GATTO

Serial No:

Page:

09/775,599

February 5, 2001

Filing Date:

4 of 26

19. (Previously Presented) The method of claim 27, wherein the indication of historical

accuracy comprises a relative accuracy score, comparing an analyst's accuracy relative to

the accuracy of other analysts.

20. (Previously Presented) The method of claim 27, wherein the indication of historical

accuracy comprises an accuracy measurement based on a performance metric aggregated

over a user-selected number of quarters or fiscal periods.

21-23. (Cancelled)

24. (Currently Amended) A computer-implemented method for displaying information

relating to one or more analysts' estimates for one or more future events, comprising:

retrieving, from a database operatively connected to a computer, information

relating to one or more analysts' historical estimates for one or more historical events, and

information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or

more analysts based, in part, on the information relating to the one or more analysts'

historical estimates for the one or more historical events; and

receiving a selection of a security from a user via a graphical user interface in

communication with the computer; and

displaying simultaneously, for [[a]] the user-selected security, on an analyst by

analyst basis, only for analysts from among the one or more analysts that have a current

estimate for the user-selected security, and whose historical accuracy satisfies a

predetermined threshold:

i) an indication of historical accuracy for an analyst; and

ii) the analyst's estimate for a future event for the user-selected security.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

5 of 26

25. (**Currently Amended**) A computer-implemented method for displaying information relating to one or more analysts' estimates for one or more future events, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

receiving a selection of a security from a user via a graphical user interface in communication with the computer; and

displaying simultaneously, for [[a]] the user-selected security, on an analyst by analyst basis, only for analysts from among the one or more analysts whose historical accuracy for the user-selected security satisfies a predetermined threshold:

- i) an indication of historical accuracy for an analyst; and
- ii) the analyst's estimate for a future event for the user-selected security.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

6 of 26

26. (**Currently Amended**) A computer-implemented method for displaying information relating to one or more analysts' estimates for one or more future events, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

receiving a selection of a security and one or more of the analysts from a user via a graphical user interface in communication with the computer; and

displaying simultaneously, for [[a]] the user-selected security, on an analyst by analyst basis, for analysts-selected by a user from among the user-selected one-or-more analysts:

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event for the user-selected security; and
- iii) other information relating to the user-selected security.

Applicant:

GATTO

Serial No:

Page:

09/775,599

Filing Date:

February 5, 2001 7 of 26

27. (**Currently Amended**) A computer-implemented method for displaying information relating to one or more analysts' estimates for one or more future events, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event; and
- iii) a graphical indication of whether the analyst's estimate is above or below a consensus estimate for the future event.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

8 of 26

28. (**Currently Amended**) A computer-implemented method for displaying information relating to one or more analysts' estimates for one or more future events, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event; and
- iii) a graphical indication of whether the analyst's estimate is above or below a consensus estimate for the future event and the difference between the analyst's estimate and the consensus estimate.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

9 of 26

29. (**Currently Amended**) A computer-implemented method for displaying information relating to one or more analysts' estimates for one or more future events, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event; and
- iii) a graphical indication of whether the analyst's estimate exceeds a consensus estimate for the future event by a predetermined amount or is below the consensus estimate by a predetermined amount.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

10 of 26

30. (**Currently Amended**) A computer-implemented method for displaying information relating to one or more analysts' estimates for one or more future events, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

displaying simultaneously, on an analyst by analyst basis, for <del>analysts selected by a user from among a group of</del> the <u>user-selected</u> <del>one-or-more</del> analysts whose historical accuracy satisfies a predetermined threshold:

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event; and
- iii) a graphical indication of whether the analyst's estimate exceeds a consensus estimate for the future event by a predetermined amount or is below the consensus estimate by a predetermined amount.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

11 of 26

31. (Currently Amended) A computer-implemented method for displaying information relating to one or more analysts' estimates for one or more future events, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

displaying simultaneously, on an analyst by analyst basis, for <del>analysts selected by a user from among a group of</del> the <u>user-selected</u> <del>one or more</del> analysts whose historical accuracy satisfies a predetermined threshold:

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event; and
- iii) a graphical indication of whether the analyst's estimate exceeds a consensus estimate for the future event by a predetermined amount or is below the consensus estimate by a predetermined amount and the difference between the analyst's estimate and the consensus estimate.
- 32. (**Previously Presented**) The method of claim 31, wherein an analyst is included in the group of the one or more analysts if the analyst's historical accuracy for a selected security satisfies a predetermined threshold.
- 33. (Previously Presented) The method of claim 31, wherein an analyst is included in the group of the one or more analysts if the analyst's historical accuracy for a selected sector satisfies a predetermined threshold.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

12 of 26

34. (Previously Presented) The method of claim 31, wherein an analyst is included in the group of the one or more analysts if the analyst's historical accuracy for a selected industry satisfies a predetermined threshold.

35. (Previously Presented) The method of claim 31, wherein an analyst is included in the group of the one or more analysts if the analyst's historical accuracy for all securities for which the analyst has issued estimates satisfies a predetermined threshold.

36. (**Previously Presented**) The method of claim 31, wherein an analyst is included in the group of the one or more analysts if the analyst's historical accuracy for a user-selected group of securities satisfies a predetermined threshold.

Applicant:

GATTO

Serial No:

Page:

09/775,599

Filing Date:

February 5, 2001 13 of 26

37. (**Currently Amended**) A computer-implemented method for displaying information relating to one or more analysts' estimates one or more future events, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

displaying simultaneously, on an analyst by analyst basis, for <del>analysts selected by a user from among</del> the <u>user-selected</u> <del>one or more</del> analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities; and wherein the order in which the selected analysts appear is based on a rating of the analysts.

38-39. (Cancelled)

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

14 of 26

40. (Currently Amended) A computer-implemented method of displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical recommendations for an action with respect to one or more securities, and information relating to the one or more analysts' current recommendations for an action with respect to one or more securities;

determining, using the computer, an indication of historical performance for the one or more analysts based, in part, on the information relating to the one or more analysts' historical recommendations for an action with respect to one or more securities; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

- i) an indication of historical performance for an analyst;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- iii) a graphical indication of whether a numerical value associated with the analyst's current recommendation is above or below a numerical value associated with a consensus recommendation for the at least one security.

Applicant:

GATTO

Serial No:

Page:

09/775,599

February 5, 2001

Filing Date:

15 of 26

41. (Currently Amended) A computer-implemented method of displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical recommendations for an action with respect to one or more securities, and information relating to the one or more analysts' current recommendations for an action with respect to one or more securities;

determining, using the computer, an indication of historical performance for the one or more analysts based, in part, on the information relating to the one or more analysts' historical recommendations for an action with respect to one or more securities; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

- i) an indication of historical performance for an analyst;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- iii) a graphical indication of whether a numerical value associated with the analyst's current recommendation is above or below a numerical value associated with a consensus recommendation for the at least one security, and the difference between the numerical value associated with the analyst's current recommendation and the numerical value associated with the consensus recommendation.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

16 of 26

42. (Currently Amended) A computer-implemented method of displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical recommendations for an action with respect to one or more securities, and information relating to the one or more analysts' current recommendations for an action with respect to one or more securities;

determining, using the computer, an indication of historical performance for the one or more analysts based, in part, on the information relating to the one or more analysts' historical recommendations for an action with respect to one or more securities; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

- i) an indication of historical performance for an analyst;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- iii) a graphical indication of whether a numerical value associated with the analyst's current recommendation exceeds a numerical value associated with a consensus recommendation for the at least one security by a predetermined amount, or is below the numerical value associated with the consensus recommendation by a predetermined amount.

Applicant:

GATTO

Serial No:

Page:

09/775,599

February 5, 2001

Filing Date:

17 of 26

43. (Currently Amended) A computer-implemented method of displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical recommendations for an action with respect to one or more securities, and information relating to the one or more analysts' current recommendations for an action with respect to one or more securities;

determining, using the computer, an indication of historical performance for the one or more analysts based, in part, on the information relating to the one or more analysts' historical recommendations for an action with respect to one or more securities; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

displaying simultaneously, on an analyst by analyst basis, for <del>analysts selected by a user from among a group of</del> the <u>user-selected</u> <del>one or more</del> analysts whose historical performance satisfies a predetermined threshold:

- i) an indication of historical performance for an analyst based on selected criteria;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- iii) a graphical indication of whether a numerical value associated with the analyst's current recommendation exceeds a numerical value associated with a consensus recommendation for the at least one security by a predetermined amount, or is below the numerical value associated with the consensus recommendation by a predetermined amount.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

18 of 26

44. (Currently Amended) A computer-implemented method of displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical recommendations for an action with respect to one or more securities, and information relating to the one or more analysts' current recommendations for an action with respect to one or more securities;

determining, using the computer, an indication of historical performance for the one or more analysts based, in part, on the information relating to the one or more analysts' historical recommendations for an action with respect to one or more securities; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

displaying simultaneously, on an analyst by analyst basis, for <del>analysts selected by a user from among a group of the user-selected one or more</del> analysts whose historical performance satisfies a predetermined threshold:

- i) an indication of historical performance for an analyst;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- iii) a graphical indication of whether a numerical value associated with the analyst's current recommendation exceeds a numerical value associated with a consensus recommendation for the at least one security by a predetermined amount or is below the numerical value associated with the consensus recommendation by a predetermined amount and the difference between the numerical value associated with the analyst's recommendation and the numerical value associated with the consensus.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

19 of 26

45. (Previously Presented) The method of claim 44, wherein an analyst is included in the group of the one or more analysts if the analyst's historical performance for the at least

one security satisfies a predetermined threshold.

46. (Previously Presented) The method of claim 44, wherein an analyst is included in

the group of the one or more analysts if the analyst's historical performance for a selected

sector satisfies a predetermined threshold.

47. (Previously Presented) The method of claim 44, wherein an analyst is included in

the group of the one or more analysts if the analyst's historical performance for a selected

industry satisfies a predetermined threshold.

48. (Previously Presented) The method of claim 44, wherein an analyst is included in

the group of the one or more analysts if the analyst's historical performance for all

securities for which the analyst has issued recommendations satisfies a predetermined

threshold.

49. (Previously Presented) The method of claim 44, wherein an analyst is included in

the group of the one or more analysts if the analyst's historical performance for a user-

selected group of securities satisfies a predetermined threshold.

50-51. (Cancelled)

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

20 of 26

52. (**Currently Amended**) A computer-implemented method for displaying information relating to security analysts' estimates, the method comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

displaying simultaneously, on an analyst by analyst basis, for <del>analysts selected by a user from among</del> the <u>user-selected</u> <del>one or more</del> analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities; and
- iii) model information relating to the use of the analyst's estimate in an aggregation prediction model; and

wherein the model information comprises one or more exclusion factors, and wherein displaying further comprises displaying an indication of whether the analyst's estimate is excluded from use in the aggregation prediction model.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

21 of 26

53. (Currently Amended) A computer-implemented method for displaying information relating to security analysts' estimates, the method comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

displaying simultaneously, on an analyst by analyst basis, for <del>analysts selected by a user from among</del> the <u>user-selected</u> <del>one or more</del> analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities; and
- iii) model information relating to the use of the analyst's estimate in an aggregation prediction model; and

wherein the model information comprises one or more exclusion factors, and wherein displaying further comprises displaying an indication of whether the analyst's estimate is excluded from use in the aggregation prediction model and an indication of the reason for exclusion if the analyst's estimate is excluded from use in the aggregation prediction model.

Applicant:

GATTO

Serial No:

09/775,599

Filing Date:

February 5, 2001

Page:

22 of 26

54. (**Currently Amended**) A computer-implemented method for displaying information relating to security analysts' estimates, the method comprising:

retrieving, from a database <u>operatively connected to a computer</u>, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining, using the computer, an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

receiving a selection of one or more of the analysts from a user via a graphical user interface in communication with the computer; and

displaying simultaneously, on an analyst by analyst basis, for <del>analysts selected by a user from among</del> the <u>user-selected</u> <del>one or more</del> analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities; and
- iii) model information relating to the use of the analyst's estimate in an aggregation prediction model; and

wherein the model information comprises one or more weighting factors, and wherein displaying further comprises displaying an indication of a weight applied to the analyst's estimate.